

# Little Rock Trade and Investment Ltd.

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CIN: L67120WB1981PLC033628

Date: July 09, 2015

The Secretary  
Kolkata Stock Exchange Ltd  
7- Lyons Range  
Kolkata - 700001

Ref Company No = 22055

Dear Sir,

Sub: **Submission of Corporate Governance Report under clause 49 of Listing Agreement for Quarter ended on 30th June, 2015**

Pursuant to Clause 49 of Listing Agreement, please find enclosed copy of the Corporate Governance Report for the Quarter ended on June 30, 2015,

Kindly acknowledge receipt.

Thanking You

Yours faithfully

**For Little Rock Trade and Investment Ltd.**

*Deepa*  
(Deepa Nanda)

Director

Encl: Corporate Governance Report for Quarter ended on June 30, 2015.



Registered Office: 21F, Deodhar Street, Kolkata - 700019

## Annexure - XI to the Listing Agreement

### Format of Quarterly Compliance Report on Corporate Governance

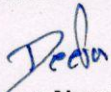
Name of the Company: Little Rock Trade & Investment Ltd

Quarter ending on: 30th June, 2015

Particulars	Clause of Listing agreement	Compliance Status Yes/No	Remarks
<b>II. Board of Directors</b>	49(II)		
(A) Composition of Board	49(IIA)	Yes	
(B) Independent Directors	49(II B)	Yes	
(C) Non-executive Directors' compensation & disclosures	49 (IIC)	Yes	
(D) Other provisions as to Board and Committees	49 (IID)	Yes	
(E) Code of Conduct	49(II E)	Yes	
(F) Whistle Blower Policy	49(II F)	Yes	
<b>III. Audit Committee</b>	49 (III)		
(A) Qualified & Independent Audit committee	49(IIIA)	Yes	
(B) Meeting of Audit Committee	49(IIIB)	Yes	
(C) Powers of Audit Committee	49 (IIIC)	Yes	
(D) Role of Audit Committee	49 (IIID)	Yes	
(E) Review of Information by Audit Committee	49(IIIE)	Yes	
<b>IV. Nomination and Remuneration Committee</b>	49(IV)	Yes	
<b>V. Subsidiary Companies</b>	49 (V)	NA	
<b>VI. Risk Management</b>	49(VI)	Yes	
<b>VII. Related Party Transactions</b>	49(VII)	Yes	
<b>VIII. Disclosures</b>	49(VIII)		
(A) Related party transactions	49(VIIIA)	Yes	No material transactions with related parties
(B) Disclosure of Accounting Treatment	49(VIIIB)	NA	
(C) Remuneration of Directors	49 (VIIIC)	Yes	
(D) Management	49 (VIIID)	Yes	Will be complied in next Annual Report

(E) Shareholders	49 (VIII E)	No	Will be complied with at the AGM
(F) Disclosure of resignation of directors	49 (VIIF)	NA	
(G) Disclosure of formal letter of appointment	49 (VIIG)	NA	
(H) Disclosures in the Annual report	49 (VIHH)	Yes	Will be complied in next Annual Report
(I) Proceeds from public issues, rights issue, preferential issues, etc	49 (VIHH)	NA	
<b>IX. CEO/CFO Certification</b>	49(IX)	Yes	
<b>X. Report on Corporate Governance</b>	49(X)	Yes	
<b>XI. Compliance</b>	49(XI)	Yes	

For Little Rock Trade & Investment Ltd

  
 (Deepa Nanda)  
 Director